A Complete Efficiency Ranking of Decision Making Units in DEA: with an Empirical Study

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Abstract

The efficiency measures provided by DEA can be used for ranking Decision Making Units (DMU’s), but this ranking cannot be applied to efficient units. Anderson and Peterson have proposed a modified efficiency measure for efficient units which can be used for ranking, but this ranking breaks down in case of units with at least one input of zero. This paper proposes an alternative efficiency measure that removes this problem. The model is illustrated by an application to the University for Teacher Education, for which the Anderson - Peterson model was not able to give a ranking for two units, which were ranked successfully by the proposed model.

(Data Envelopment Analysis, Efficiency, Ranking)
Introduction.

Development Analysis (DEA) provides a measure of efficiency of a Decision Making Unit relative to other such units producing the same outputs with the same inputs. This technique, developed by Charnes, Cooper, and Rhodes (1978), and extended by Banker, Charnes, and Cooper (BCC) (1984), is a linear programming approach for an analysis of inputs and outputs. The technique does not require prior weights on inputs or outputs.

A standard DEA method assigns an efficiency score of at most 1 to inefficient DMU's, from which an efficiency score of 1 can be derived. However, efficient DMU's may have an efficiency of 1, so that for these units no improvement can be given. A model for ranking efficient DMU's was proposed by Andersen and Petersen (1993). Their model was called Extended-DEA, and is used in this study for the University for Teacher Training (UTE). However, this model breaks down when dealing with units with at least one zero input.

In this paper, a new definition of efficiency is proposed that can be extended for ranking efficient DMU's. The extended method is applied to data for UTE.

The role of zeros in data has been considered by Charnes, Cooper and Thrall (1991) and Thompson, Dharmapala, and Thrall (1993) but this paper deals with the problem of ranking the efficient DMU's involving zeros in input data.

The remainder of the paper unfolds as follows. Section 2 represents the Andersen and Petersen model. Section 3 presents the new model based on a definition of efficiency in possibility set (PPS). In section 4, the two models are compared, using two illustrative examples. Section 5 applies the two models to the UTE data. A summary is given in section 6.

2 The Andersen-Petersen Model.

The standard DEA method assigns an efficiency score of less than one to inefficient units. A score less than one means that a linear combination of the other units could produce at least the same vector of output using a smaller vector of inputs. This score can be used to rank inefficient units. Andersen and Petersen (1993) developed a similar model for ranking efficient DMU's, which in the standard DEA method have a score of 1. The basic idea in their model is to compare the unit under evaluation with a linear combination of all other units, i.e., all units excluding the unit itself. In this case, an efficiency score above 1 is obtained for efficient units. This score reflects the radial distance from the unit under evaluation to the production frontier estimated with the exclusion of that unit, i.e., the maximum proportional increase in inputs producing at least the same outputs.

The Andersen-Petersen model (AP-Model) is identical with the CCR model, except that the unit under evaluation is not included in the combination. Therefore the p-th DMU can be evaluated as follows:

\[ r_p^* = \min r_p - \epsilon \left[ \sum_{i=1}^{m} s_i + \sum_{r=1}^{r} s_r' \right] \]

subject to:

\[ \sum_{j \neq p}^{n} \lambda_j X_{ij} + s_i = r_p X_{ip}, \quad i = 1, \ldots, m, \]

\[ \sum_{j \neq p}^{n} \lambda_j Y_{ij} - s_r' = Y_{rp}, \quad r = 1, \ldots, s, \]

\[ \lambda_j, s_i, s_r' \geq 0, \quad \forall j, i, r, \]

where \( Y_{ij} \) is the r-th output and \( X_{ij} \) is the i-th input for the \( j \)-th DMU, \( r_p \) is a scalar defining the share of \( p \)-th DMU input vector which is required in order to produce the output vector of \( p \)-th DMU, \( \lambda_j \) denotes the intensity of the \( j \)-th DMU, and \( \epsilon \) is an non-Archimedean infinitesimal.
3 Efficiency Analysis by an Alternative Measure.

There are \( n \) DMU's to be evaluated, each consumes varying amounts of \( m \) different inputs to produce \( s \) different outputs.

In the model formulation, \( X_p \) and \( Y_p \) denote, respectively, the nonnegative vectors of input and output values for DMU'.

**Definition.** The production possibility set (PPS) \( T \) is the set \( \{(X_t, Y_t) \mid \text{the outputs } Y_t \text{ can be produced with the inputs } X_t\} \).

The set of \( n \) DMU's of actual production possibility \( (X_j, Y_j), j = 1, \ldots, n \) is considered. Our focus is on the empirically defined production possibility set \( T \) with constant returns assumption that is specified by the following four postulates:

- **Postulate 1 (Ray Unboundedness).** If \((X_t, Y_t) \in T\) then \((\lambda X_t, \lambda Y_t) \in T\) for all \( \lambda \geq 0 \).

- **Postulate 2 (Convexity).** If \((X_t, Y_t) \in T\) and \((X_u, Y_u) \in T\), then \((\lambda X_t + (1 - \lambda)X_u, \lambda Y_t + (1 - \lambda)Y_u) \in T\) for all \( \lambda \in [0, 1] \).

- **Postulate 3 (Monotonicity).** If \((X_t, Y_t) \in T\) and \(X_u \geq X_t, Y_u \leq Y_t\) then \((X_u, Y_u) \in T\).

- **Postulate 4 (Inclusion of Observations).** The observed \((X_j, Y_j) \in T\) for all \( j = 1, \ldots, n \).

- **Postulate 5 (Minimum extrapolation).** If a production possibility set \( T' \) satisfies Postulates 1, 2, 3, and 4 then \( T \subset T' \).

The unique production possibility set with constant returns assumption determined by the above postulates is given by:

\[
T = \{(X_t, Y_t) \mid X_t \geq \sum_{j=1}^{n} \lambda_j X_j, Y_t \leq \sum_{j=1}^{n} \lambda_j Y_j, \\
\lambda_j \geq 0, \quad j = 1, \ldots, n \}.
\]

The boundary of this convex set consists of a straight line, plane, or hyperplane through the origin, as \( T \) is a convex cone that contains all of DMU's. See Figure 1 for the simplest case of single input and single output.

![Figure 1: Production Possibility Set.](image)

For efficiency evaluation relative to the set \( T \), we have the following two linear programming problems:

\[
\begin{align*}
r^*_p &= \min r_p \quad \text{subject to:} \\
(\lambda_r X_p, Y_p) &\in T,
\end{align*}
\]

\[
\begin{align*}
w^*_p &= \min w_p \quad \text{subject to:} \\
(\lambda_r X_p + w_p e, Y_p) &\in T,
\end{align*}
\]

which give the CCR-Model and our formulation respectively as follows:

\[
\begin{align*}
r^*_p &= \min r_p \quad \text{subject to:} \\
\sum_{j=1}^{n} \lambda_j X_j &\leq r_p X_p, \\
\sum_{j=1}^{n} \lambda_j Y_j &\geq Y_p, \\
\lambda_j &\geq 0, \quad j = 1, \ldots, n.
\end{align*}
\]
dependent upon the units of measurement of input data, \(X_j, j = 1, \ldots, n\). However, it is possible to obtain unit independence by normalization, as discussed later.

The unique production possibility set with variable returns assumption determined by postulates 2, 3, 4, and 5 is given by:

\[
T = \{ (X_i, Y_i) \mid X_i \geq \sum_{j=1}^{n} \lambda_j X_j, \ Y_i \leq \sum_{j=1}^{n} \lambda_j Y_j, \\
\sum_{j=1}^{n} \lambda_j = 1, \lambda_j \geq 0, \ j = 1, \ldots, n_i \}
\]

A discussion similar to the constant returns assumption leads to the BCC-Model and our second formulation, and extension of our second formulation for ranking efficient units can be as follow:

\[
w^*_p = \min w_p \ subject \ to: \\
\sum_{j=1}^{n} \lambda_j X_j \leq X_p + w_p e, \\
\sum_{j=1}^{n} \lambda_j Y_j \geq Y_p, \\
\lambda_j \geq 0, \ j = 1, \ldots, n.
\]

4 The Comparison of the Two Models.

Two models for ranking the efficient DMU's were discussed in section 2 and 3. Section 2 represented the AP-Model and section 3 represented the JAM-Model. This section compares these two models using two illustrative examples.

In an actual set of data, it is possible that one or more of the data inputs and outputs are zero. It is also possible that some data inputs and outputs are small in comparison with other inputs and outputs.

In those cases, AP-Model, can not correctly evaluate
the efficiency of the DMU’s. If the DMU under evaluation has at least one input equal to zero, the AP-Model will be infeasible and if the DMU has at least one input which is small in comparison with other inputs, AP-Model will measure this DMU without stability.

The measure given by JAM-Model successfully evaluates the above cases, so that meaningful scores are obtained for all data.

In order to make usual scores, the scores in JAM-Model may be rescaled from \([-1, +1]\) to \([0\% , 200\%]\) so that \(0\) is rescaled to \(100\%\). A score less than \(100\%\) means that the corresponding DMU is inefficient and greater than or equal to \(100\%\) means that the corresponding DMU is efficient.

### 4.1 Illustrative Example 1:

Table 1 gives an example of the above cases.

<table>
<thead>
<tr>
<th></th>
<th>(A_1)</th>
<th>(A_2)</th>
<th>(A_3)</th>
<th>(B)</th>
<th>(C)</th>
<th>(D)</th>
<th>(E)</th>
</tr>
</thead>
<tbody>
<tr>
<td>input1</td>
<td>2</td>
<td>0.1</td>
<td>5</td>
<td>10</td>
<td>10</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>input2</td>
<td>8</td>
<td>8</td>
<td>8</td>
<td>5</td>
<td>4</td>
<td>6</td>
<td>12</td>
</tr>
<tr>
<td>output1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>output2</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>2</td>
</tr>
</tbody>
</table>

**Table 1: Comparison Test Data.**

There are 5 DMU’s (A, B, C, D and E) each consume two inputs to produce two outputs with constant returns assumption. In order to remove the effects of changes in units of measurement from the input data, the data must be normalized before applying the method. It can be done by dividing inputs data by the maximum input (for each input).

In this example DMU\(_{A_1}\), DMU\(_{A_2}\) and DMU\(_{A_3}\) are compared with all other DMU’s (B, C, D and E) in the following three paragraphs by CCR-Model, AP-Model and JAM-Model.

- DMU\(_{A_1}\), is evaluated to be efficient by CCR-Model, and is evaluated as efficient by AP-Model with efficiency score equal to 147%. It is evaluated as efficient by JAM-Model with efficiency score equal to +0.276, which rescales to \(100(1 + 0.276) = 127.6\%\). In this case, there is no problem.

- Consider now DMU\(_{A_2}\), which has an input equal to zero. DMU\(_{A_2}\) is evaluated to be efficient by CCR-Model, but it can not be evaluated by AP-Model. However, it is evaluated as efficient by JAM-Model with efficiency score equal to +0.310 which rescales to 131.0%.

- Consider now DMU\(_{A_3}\), which has an input equal to 0.1. DMU\(_{A_3}\) is evaluated as efficient by CCR-Model, and can be evaluated as efficient by AP-Model with efficiency score equal to 2000% which is unstable. It is evaluated as efficient by JAM-Model with efficiency score equal to +0.309 which rescales to 130.9%.

### 4.2 Illustrative Example 2:

A comparison of these two procedures for ranking DMU’s is illustrated on the Farrell frontier. Consider the DMU’s of Figure 2, each produces one output using two inputs with constant returns assumption. DMU\(_C\) is efficient and it can be evaluated by AP-Model with efficiency score of \(\left(100\frac{\pi_C}{e}\right)\) and evaluated by JAM-Model with efficiency score \(\pi_C\) which rescales to \(100(1 + \pi_C)\).
Figure 2: Farrell Efficiency Measurements.

In this figure, AP-Model evaluates DMU_A with efficiency score of $(100 \cdot \frac{O_A}{O_A^*})\%$ that is much greater than 100%, which is unstable, but this DMU is evaluated with efficiency score of $100(1+w_A)\%$ by JAM-Model.

- DMU_A has small value for input 1 (see Figure 4):

In this figure, AP-Model evaluates DMU_A with efficiency score of $(100 \cdot \frac{O_A}{O_A^*})\%$ that is much greater than 100%, which is unstable, but this DMU is evaluated with efficiency score of $100(1+w_A)\%$ by JAM-Model.

- DMU_A has small value for input 1 (see Figure 4):

Figure 4: DMU_A has small value for input 1.

- DMU_A and DMU_B are similar units that have small values for input 1 (see Figure 5):

In this figure, AP-Model evaluates DMU_A with efficiency score of $(100 \cdot \frac{O_A}{O_A^*})\%$ that is much greater than 100%, which is unstable, but this DMU is evaluated with efficiency score of $100(1+w_A)\%$ by JAM-Model.

Figure 5: DMU_A and DMU_B are similar with small values for input 1.
In this figure, AP-Model evaluates $DMU_A$ with efficiency score of much greater than 100%, while $DMU_B$ is evaluated with efficiency score of about 100%, and it is obvious that these results are unstable, but these DMU’s are evaluated with efficiency scores of $100(1 + w_A)\%$ and about 100% by JAM-Model, respectively.

- $DMU_A$ has zero for input 1 and $DMU_B$ has small value for input 1 (see Figure 6):

![Figure 6: DMU_A has zero for input 1 and DMU_B has small value for input 1.](image)

AP-Model evaluates $DMU_A$ with efficiency score of $(100 \frac{OA'}{OA})\%$ that is $\infty$ while $DMU_B$ is evaluated with efficiency score of about 100% and unstability is observed, but these DMU’s are evaluated with efficiency scores of $100(1 + w_A)\%$ and 100% by JAM-Model, respectively.

5 An Empirical Study.

In Jahanianhlool and Alierezace (1995), the evaluation of teaching in the UTE was considered. Teaching inputs were expressed in teacher hours and classified in terms of two outputs, course enrollment in undergraduate and graduate studies (see appendix).

Table 2 gives the AP-Model results, efficiency scores and reference sets, with two inputs and two outputs. Six units were found to be efficient. The AP-Model assigns infinity values to the Department of Women’s Physical Education, the 9th DMU, and Institute of Mathematics, the 19th DMU, which are indicated by an asterisk.

The academic units at the UTE may be evaluated using JAM-Model. Table 3 gives the efficiency scores and reference sets obtained by this model. The ranking is approximately the same as that of Table 2, except that DMU’s 9 and 19 now have an explicit ranking.

Extended model, JAM-Model, successfully evaluated all efficient academic units at the UTE, in contrast to AP-Model.

In the application of AP-Model and JAM-Model on real data-set of the UTE, computational DEA issues of Ali (1994), Ali (1993), and Ali and Seiford (1993) have been considered.

6 Summary.

If an efficient DMU has at least one input equal to zero the Andersen-Petersen model gives an infinite result for this DMU, and if the DMU has at least one input with small value in comparison with other inputs, this model measures this DMU without stability. These cases are successfully evaluated and ranked by the new model proposed in this paper.

Computational difficulties of the Andersen-Petersen model were observed in evaluating efficient academic units at the UTE. This model could not evaluate the Department of Women’s Physical Education and the Institute of Mathematics. These academic units were successfully evaluated by the new model.
<table>
<thead>
<tr>
<th>DMU</th>
<th>Eff.</th>
<th>Ref. Sets</th>
<th>((\epsilon = 0.33 \times 10^{-6}))</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>*</td>
<td></td>
<td></td>
</tr>
<tr>
<td>19</td>
<td>*</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>174%</td>
<td>(\lambda_2 = 0.492) (\lambda_7 = 1.173) (\lambda_{10} = 0.114)</td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>133%</td>
<td>(\lambda_1 = 0.938) (\lambda_{19} = 2.064)</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>130%</td>
<td>(\lambda_8 = 0.956) (\lambda_{19} = 0.479)</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>115%</td>
<td>(\lambda_2 = 0.492) (\lambda_{10} = 0.220) (\lambda_{19} = 0.353)</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>97%</td>
<td>(\lambda_2 = 0.276) (\lambda_5 = 0.548) (\lambda_9 = 0.641)</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>96%</td>
<td>(\lambda_1 = 1.060) (\lambda_2 = 0.503)</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>95%</td>
<td>(\lambda_1 = 0.585) (\lambda_2 = 0.073)</td>
<td></td>
</tr>
<tr>
<td>17</td>
<td>89%</td>
<td>(\lambda_2 = 0.375) (\lambda_5 = 0.091) (\lambda_{19} = 0.338)</td>
<td></td>
</tr>
<tr>
<td>18</td>
<td>85%</td>
<td>(\lambda_2 = 0.978) (\lambda_5 = 0.191) (\lambda_{19} = 0.186)</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>71%</td>
<td>(\lambda_2 = 0.487) (\lambda_9 = 0.204)</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>66%</td>
<td>(\lambda_1 = 0.564) (\lambda_2 = 0.285) (\lambda_{19} = 0.392)</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>63%</td>
<td>(\lambda_1 = 0.542) (\lambda_2 = 0.156)</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>58%</td>
<td>(\lambda_1 = 0.131) (\lambda_2 = 0.274)</td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>57%</td>
<td>(\lambda_1 = 0.231) (\lambda_2 = 0.582)</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>54%</td>
<td>(\lambda_1 = 0.726) (\lambda_2 = 0.232)</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>45%</td>
<td>(\lambda_1 = 1.210) (\lambda_2 = 0.099)</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>45%</td>
<td>(\lambda_1 = 0.048) (\lambda_2 = 0.528) (\lambda_{19} = 0.504)</td>
<td></td>
</tr>
</tbody>
</table>

Table 2: AP-Model Efficiency Scores for 19 Academic Units of the UTE.
<table>
<thead>
<tr>
<th>DMU</th>
<th>Eff.</th>
<th>Rescaled</th>
<th>Ref. Sets</th>
<th>( (\varepsilon = 0.55 \times 10^{-6}) )</th>
</tr>
</thead>
<tbody>
<tr>
<td>19</td>
<td>+0.281</td>
<td>128%</td>
<td>( \lambda_1 = 0.579 )</td>
<td>( \lambda_{17} = 0.850 ) ( \lambda_{19} = 0.094 )</td>
</tr>
<tr>
<td>5</td>
<td>+0.104</td>
<td>110%</td>
<td>( \lambda_2 = 0.033 )</td>
<td>( \lambda_{6} = 0.831 ) ( \lambda_{19} = 0.580 )</td>
</tr>
<tr>
<td>2</td>
<td>+0.092</td>
<td>109%</td>
<td>( \lambda_2 = 0.575 )</td>
<td>( \lambda_{7} = 0.647 ) ( \lambda_{10} = 0.274 )</td>
</tr>
<tr>
<td>15</td>
<td>+0.065</td>
<td>106%</td>
<td>( \lambda_1 = 0.938 )</td>
<td>( \lambda_{19} = 1.491 )</td>
</tr>
<tr>
<td>1</td>
<td>+0.047</td>
<td>105%</td>
<td>( \lambda_2 = 0.575 )</td>
<td>( \lambda_{10} = 0.177 ) ( \lambda_{15} = 0.358 )</td>
</tr>
<tr>
<td>9</td>
<td>-0.043</td>
<td>104%</td>
<td>( \lambda_2 = 0.789 )</td>
<td>( \lambda_{9} = 0.701 )</td>
</tr>
<tr>
<td>8</td>
<td>-0.010</td>
<td>99%</td>
<td>( \lambda_2 = 0.228 )</td>
<td>( \lambda_{6} = 0.648 ) ( \lambda_{9} = 0.701 )</td>
</tr>
<tr>
<td>3</td>
<td>-0.011</td>
<td>99%</td>
<td>( \lambda_1 = 0.590 )</td>
<td>( \lambda_{2} = 0.066 )</td>
</tr>
<tr>
<td>7</td>
<td>-0.020</td>
<td>98%</td>
<td>( \lambda_2 = 0.310 )</td>
<td>( \lambda_{9} = 0.428 )</td>
</tr>
<tr>
<td>10</td>
<td>-0.021</td>
<td>98%</td>
<td>( \lambda_1 = 1.055 )</td>
<td>( \lambda_{2} = 0.609 )</td>
</tr>
<tr>
<td>17</td>
<td>-0.022</td>
<td>98%</td>
<td>( \lambda_2 = 0.376 )</td>
<td>( \lambda_{6} = 0.091 ) ( \lambda_{15} = 0.338 )</td>
</tr>
<tr>
<td>18</td>
<td>-0.051</td>
<td>95%</td>
<td>( \lambda_2 = 0.870 )</td>
<td>( \lambda_{5} = 0.265 ) ( \lambda_{19} = 0.094 )</td>
</tr>
<tr>
<td>6</td>
<td>-0.070</td>
<td>93%</td>
<td>( \lambda_1 = 0.044 )</td>
<td>( \lambda_{2} = 0.398 )</td>
</tr>
<tr>
<td>4</td>
<td>-0.118</td>
<td>88%</td>
<td>( \lambda_1 = 0.561 )</td>
<td>( \lambda_{2} = 0.128 )</td>
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<tr>
<td>16</td>
<td>-0.141</td>
<td>86%</td>
<td>( \lambda_1 = 0.007 )</td>
<td>( \lambda_{2} = 0.903 ) ( \lambda_{19} = 0.029 )</td>
</tr>
<tr>
<td>12</td>
<td>-0.153</td>
<td>85%</td>
<td>( \lambda_1 = 0.717 )</td>
<td>( \lambda_{15} = 0.049 ) ( \lambda_{19} = 0.278 )</td>
</tr>
<tr>
<td>14</td>
<td>-0.235</td>
<td>77%</td>
<td>( \lambda_1 = 0.752 )</td>
<td>( \lambda_{2} = 0.194 )</td>
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<tr>
<td>11</td>
<td>-0.250</td>
<td>75%</td>
<td>( \lambda_1 = 0.379 )</td>
<td>( \lambda_{2} = 0.054 ) ( \lambda_{10} = 0.371 )</td>
</tr>
<tr>
<td>13</td>
<td>-0.457</td>
<td>54%</td>
<td>( \lambda_1 = 1.151 )</td>
<td>( \lambda_{15} = 0.137 )</td>
</tr>
</tbody>
</table>

Table 3: JAM-Model Efficiency Scores for 19 Academic Units of the UTE.
有用的意见来自 Dr. B. E. Goff, 教授经济学, 多伦多大学, 加拿大, Dr. R. M. Thrall, 促进管理, 约翰霍普金斯大学, 和 Noah Harding 教授数学, 里士满大学。匿名审稿人对本文的修改和建议深表感谢。


<table>
<thead>
<tr>
<th>No.</th>
<th>Department/Institute</th>
<th>I1</th>
<th>I2</th>
<th>O1</th>
<th>O2</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Persian Literature</td>
<td>81.0</td>
<td>87.6</td>
<td>5191</td>
<td>205</td>
</tr>
<tr>
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<td>Theology and Islamic Culture</td>
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<td>12.8</td>
<td>3629</td>
<td>0</td>
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<td>55.2</td>
<td>3302</td>
<td>0</td>
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<tr>
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<td>78.8</td>
<td>3379</td>
<td>8</td>
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<tr>
<td>5</td>
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APPENDIX: Inputs and Outputs for 19 Academic Units of the UTE in the First Semester, 1993-94.
References:

Galois Theory, Joseph Rotman, Publication 1990.


شبکه زیر میدانهای $Q(\sqrt{p}, \sqrt{q}, \sqrt{t})$}


 شبکه زیر گروه‌های $Gal_Q(f)$
برهان: نامبر بختهای قبل از قضیه ۴ اگر \( \gamma \) آنگاه \( \gamma = \sqrt{p} + \sqrt{q} + \sqrt{t} \) \( \in Q[x] \) و در نتیجه چندجمله‌ای مینیمال \( \gamma \) روز \( Q \) از درجه ۸ می‌باشد. لذا اگر \( \deg(h(x)) \geq 8 \) آنگاه \( h(\gamma) = 0 \).

بنابراین ۷ صفر \( f(x) \) است. از این که چندجمله‌ای مینیمال \( \gamma \) روز \( Q \) درجه ۸ می‌باشد، منجر به نتیجه می‌شود که چندجمله‌ای روز \( Q \) تحول گرادیئت است. به دو عبارت صورت گرفته چندجمله‌ای از درجه کوچکتر از ۸ می‌باشد که روز این

با فرض

\( 0 \leq i \leq 15, \quad K_i = \phi(H_i) \)

\( K_1 = Q(\sqrt{p}, \sqrt{q}, \sqrt{t}), \quad K_1 = Q(\sqrt{q}, \sqrt{t}), \quad K_1 = Q(\sqrt{p}, \sqrt{t}), \quad K_1 = Q(\sqrt{p}, q) \)

\( K_2 = Q(\sqrt{q}, \sqrt{p}), \quad K_3 = Q(\sqrt{t}, \sqrt{p}), \quad K_3 = Q(\sqrt{q}, \sqrt{t}), \quad K_3 = Q(\sqrt{p}, \sqrt{q}) \)

\( K_4 = Q(\sqrt{t}), \quad K_4 = Q(\sqrt{q}), \quad K_4 = Q(\sqrt{q}), \quad K_4 = Q(\sqrt{p}) \)

\( K_5 = Q(\sqrt{p}, \sqrt{q}), \quad K_5 = Q(\sqrt{pq}, \sqrt{pt}), \quad K_5 = Q(\sqrt{pq}, \sqrt{qt}) \)

در صفحه بعد شکل زیرگره‌های \( \Gamma_1 \) و شبکه زیست‌شناسی \( \Gamma_2 \) و \( \Gamma_3 \) را گسترش می‌پرسند.
\[ \text{در این صورت } \alpha = \alpha = a \sqrt[3]{p} + b \sqrt[3]{q} + c \sqrt[3]{t} \] 

و با توجه به اینکه \( \alpha \notin \phi(H_i) \) به عنوان یک عدد از جمله‌ای متناسب با \( Q \) است و برای هر \( 1 \leq i \leq 15 \) 

\( Q(\sqrt[3]{p}, \sqrt[3]{q}, \sqrt[3]{t}) = Q(\sqrt[3]{p}, \sqrt[3]{q}, \sqrt[3]{t}) \) 

و در نتیجه \( Q(\alpha) = Q(\sqrt[3]{p}, \sqrt[3]{q}, \sqrt[3]{t}) \) \( \neq \phi(H_i) \) \( (1 \leq i \leq 15) \) 

لذا

\[ \text{فرض کنید } \{15\} \] 

\[ \psi : A \rightarrow B, \] 

\[ H_i \sim \phi(H_i) \] 

\[ [Q(\alpha) : Q] = [Q(\sqrt[3]{p}, \sqrt[3]{q}, \sqrt[3]{t}) : Q] = \lambda. \] 

بتا برای \( \alpha \) از درجه 8 باشد دارای آگر \( g(x) \) باشد به فرمی که

\[ \deg(g(x)) \geq 8 \] 

\( g(\alpha) = \alpha \) آنگاه

\[ g(x) \in Q[x] \] 

پس جمله‌ای ناصف

\[ \text{قضیه 3: اگر } \lambda \neq 1 \text{ سعید اول دویستو متناهی باشند آگاهانه} \] 

\[ f(x) = x^8 - \xi(p + q + t)x^7 + \xi[(p + q + t)^2 + 2(p' + q' + t')](x - \xi) \] 

\[ - \xi[(p + q + t)(p' + q' + t') - 2pq - 2pt - 2qt)]x^6 + (p' + q' + t')x^5 - 2pq - 2pt - 2qt) \] 

\( \text{روی } Q \text{ ترکیب با پایدار است.} \]
برهان: 

\[ Q(i, \sqrt{m}) = Q(i + \sqrt{m}) : Q = \frac{Q(i, \sqrt{m}) : Q}{Q} \]

بنابراین جنجدجمله‌ای مینیمالی از درجه \(4\) می‌باشد. در نتیجه اگر \(s(x) \in Q[x] \) ری 

\( i + \sqrt{m} \) باشد به قسمی که \( \deg(s(x)) \geq 0 \) اگه \( s(i + \sqrt{m}) = 0 \) باشد

\[ \alpha = i + \sqrt{m}, \]

\[ \alpha^2 = -1 + m + 2i\sqrt{m}, \]

\[ \alpha^3 + (1 - m)\alpha^2 + 2(1 - m)\alpha = -4m, \]

\[ \alpha^4 + 2(1 - m)\alpha^3 + (m + 1)\alpha = 0. \]

بنابراین \(s\) صفر جنجدجمله‌ای (دیفرانسیل) می‌باشد. در نتیجه \(t(x)\) ری 

\( Q\) تحمیل می‌شود \(x\) حداکثر ۴ می‌باشد که غیرممکن است.

\[ f = (x^7 - p)(x^7 - q)(x^7 - t) \]

فرض کنید \(Q_p, Q_q, Q_t\) و \(Q\) سه عدد اول دوپدر سیمپل باشند و \(Q\) توسعه میدان تجزیه‌ای ری 

\( Q(\sqrt[p]{p}, \sqrt[q]{q}, \sqrt[t]{t})/Q \)

\[ \epsilon = \{a + b\sqrt[p]{p} + b\sqrt[q]{q} + b\sqrt[t]{t} + c \sqrt[p]{p} + c\sqrt[q]{q} + c\sqrt[t]{t} + d \sqrt[p]{p} : a, b, c, d \in Q \}, \]

\( \sigma = \{\sigma, \sigma_1, \sigma_2, \sigma_3, \sigma_4, \sigma_5, \sigma_6, \sigma_7, \sigma_8 \}. \)

اگر \( q \in Q \) آنگاه \( q \in Q \) درام \( \sigma \), \( q \in Q \) داریم. \( \sigma(q) = q \) را معین کنیم.

\[ \sigma(\sqrt[p]{p}) = \pm \sqrt[p]{p}, \sigma(\sqrt[q]{q}) = \pm \sqrt[q]{q}, \sigma(\sqrt[t]{t}) = \pm \sqrt[t]{t} \]

\[ \sigma_1: \begin{cases} \sqrt[p]{p} \rightarrow \sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow \sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow \sqrt[t]{t} \end{cases}, \quad \sigma_2: \begin{cases} \sqrt[p]{p} \rightarrow -\sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow -\sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow -\sqrt[t]{t} \end{cases}, \quad \sigma_3: \begin{cases} \sqrt[p]{p} \rightarrow \sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow -\sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow -\sqrt[t]{t} \end{cases}, \quad \sigma_4: \begin{cases} \sqrt[p]{p} \rightarrow -\sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow \sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow -\sqrt[t]{t} \end{cases} \]

\[ \begin{cases} \sqrt[p]{p} \rightarrow \sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow -\sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow -\sqrt[t]{t} \end{cases}, \quad \sigma_5: \begin{cases} \sqrt[p]{p} \rightarrow \sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow -\sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow -\sqrt[t]{t} \end{cases}, \quad \sigma_6: \begin{cases} \sqrt[p]{p} \rightarrow \sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow -\sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow -\sqrt[t]{t} \end{cases}. \]

\[ \begin{cases} \sqrt[p]{p} \rightarrow \sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow \sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow \sqrt[t]{t} \end{cases}, \quad \sigma_7: \begin{cases} \sqrt[p]{p} \rightarrow \sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow -\sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow -\sqrt[t]{t} \end{cases}, \quad \sigma_8: \begin{cases} \sqrt[p]{p} \rightarrow \sqrt[p]{p} \\ \sqrt[q]{q} \rightarrow -\sqrt[q]{q} \\ \sqrt[t]{t} \rightarrow -\sqrt[t]{t} \end{cases}. \]

به هنگام \( q \in Q \), \( q \) نتیجه می‌شود که ۸ عضو \( \sigma \) به شرح

\[ \sigma(q) = \pm \sqrt[p]{p}, \sigma(q) = \pm \sqrt[q]{q}, \sigma(q) = \pm \sqrt[t]{t} \]
برهان: چون $\alpha$ به هیچیک از زیر میانی از $Q(\sqrt[p]{p^q})$, $Q(\sqrt[q]{q})$, $Q(\sqrt[p]{q})$, $Q(\sqrt[q]{p})$ تعادل ندارد، و $Q(\alpha)$ است که با هیچیک از این چهار زیر میانی باز توسط $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ اعداد گویا $b, a$ و $q$ به یکدیگر $p$ و $q$, $\alpha$ در نظر گرفته می‌شود.

قضیه 2: اگر $d$ عدد صحیح و منبت و خالی از مریع باشند به قسمی که $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ ناصفر باشند، آنگاه $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ ناصفر باشد.

برهان: با فرض $\alpha = a \sqrt[p]{p^q} + b \sqrt[q]{q}$، به این صورت قرار دهیم $\alpha$ ناصفر باشند. در نتیجه $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ ناصفر می‌باشد. اگر $g(x)$ در دو روش $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ و $Q$ ناصفر باشد به قسمی که $\deg(g(x)) \geq 4$, $a, b, g(\alpha) = \alpha$.

$$a = a \sqrt[p]{p^q} + b \sqrt[q]{q},$$
$$a' = a' \sqrt[p]{p^q} + b' \sqrt[q]{q},$$
$$a'' = a'' \sqrt[p]{p^q} + b'' \sqrt[q]{q},$$
$$a''' = a''' \sqrt[p]{p^q} + b''' \sqrt[q]{q},$$
$$a'''' = a'''' \sqrt[p]{p^q} + b'''' \sqrt[q]{q},$$

قضیه 3: اگر $d$ عدد صحیح و منبت و خالی از مریع باشند به قسمی که $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ ناصفر باشند، آنگاه $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ ناصفر می‌باشد.

نقش دارند $\alpha = b$ در قضیه 2 نتیجه حاصل می‌شود. 

نتیجه یک: اگر $\alpha$ دو عدد خالی از مریع باشند به قسمی که $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ ناصفر باشند، آنگاه $Q(\sqrt[p]{p^q}, \sqrt[q]{q})$ ناصفر می‌باشد.

برهان: با فرض $\alpha = b$ در قضیه 2 نتیجه حاصل می‌شود.
یک زیرمیدان $K$ در $E$ شامل $F$ است که به عکس اگر $K$ زیرمیدانی از $E$ و شامل $F$ است، به عنوان $Gal_E(F)$ $\{ \sigma \in Gal_E(F) | \forall k \in K(\sigma(k) = k) \}$ (چنانچه $\psi(H) = \phi(H)$ $\psi$ با ضابطه $B \rightarrow A$ (1) $p$ و $q$ کنید $p, q \neq (p, q) \neq p$ $\exists$ $Q(\sqrt{p}, \sqrt{q})/Q$ که توزیع میدان تجزیه‌ای $f$ است.

$Q(\sqrt{p}, \sqrt{q}) = \{a_0 + a_1\sqrt{p} + a_2\sqrt{q} + a_3\sqrt{pq} | a_i \in Q \}$

$|Gal_Q(f)| = [Q(\sqrt{p}, \sqrt{q}) : Q] = 4$.

با فرض $Q(\sqrt{p}, \sqrt{q})$ که به عنوان $Q$ را نام نقدی کنیم که $Q$ کافیه است $\sigma(\sqrt{p}) = \pm \sqrt{p}$ و $\sigma(\sqrt{q}) = \pm \sqrt{q}$، نتیجه می‌شود که اعضای $f$ شرح زیر می‌باشد.

$Q(\sqrt{p}, \sqrt{q})$ نامی به دارایی زیرمیدان $f$ $Gal_Q(f)$ را نمی‌باشد.

$H_0 = \{\sigma_0\}$، $H_1 = \{\sigma_0, \sigma_1\}$، $H_2 = Gal_Q(f)$، $H_3 = \{\sigma_0, \sigma_3\}$، $H_4 = \{\sigma_1, \sigma_3\}$.

در نتیجه زیرمیدان‌های منطیقی $Q(\sqrt{p}, \sqrt{q})$ نیز می‌باشد به شرح زیر می‌باشد

$\phi(H_0) = Q(\sqrt{p}, \sqrt{q})$

$\phi(H_1) = Q(\sqrt{q})$، $\phi(H_2) = Q(\sqrt{p})$، $\phi(H_3) = Q(\sqrt{p})$

$\phi(H_4) = Q(\sqrt{pq})$.

$Q(\sqrt{p}, \sqrt{q})$ اعداد $b, a$ و $\alpha$ اعدادی ناصف و در عدد خالی از مربع باشند به قسمی که $p, q \neq (p, q) \neq p$ $\exists$ $Q(\sqrt{p}, \sqrt{q})$ آنها $\alpha = a\sqrt{p} + b\sqrt{q}$.